

# Abbott Laboratories

## MedTech Europe Transparency Reporting - Methodological Note

Abbott Laboratories (“Abbott”) supports the goals of transparency in relationships with health care organizations (HCOs) <sup>1</sup>. Abbott believes that interactions with HCOs bring value to patient care. Demonstrating the integrity of our relationships with HCOs through transparency and disclosure is an important part of these relationships.

Abbott is proud to be a Corporate Member of MedTech Europe. The MedTech Europe Code of Ethical Business Practices (“Code”) provides for the support of medical education through Educational Grants made to HCOs. When made to HCOs located in the MedTech Europe Geographic Area by an applicable Abbott business, these grants are disclosed in accordance with the Disclosure Guidelines portion of the Code. The Code also requires the disclosure of funding provided to professional conference organizers (PCOs) for the advancement of genuine educational purposes, when acting independently of any HCO (“PCO Funding”).

This document is intended to serve as supporting documentation for the 2017 Abbott HCO/PCO disclosure pursuant to the MedTech Europe Code of Ethical Business Practices. Reporting includes Abbott’s device and diagnostic businesses worldwide, including the recent St. Jude Medical and Alere acquisitions. Any country-specific considerations are noted.

Grants made by applicable Abbott businesses in Belgium, France and Portugal are disclosed only on the relevant national platform. These countries received exceptions from MedTech Europe.

### I. Methodologies

#### A. Data Flow

A single, consistent transparency standard has been established for identifying and disclosing all Educational Grants and PCO Funding pursuant to the Disclosure Guidelines. Relevant payments were identified through review and analysis of financial data and through referencing relevant process documentation. Once collected, data was loaded into a central repository to undergo validation and further review. The report submitted to the MedTech disclosure platform was generated from this repository.

##### *1. Country-Specific Issues*

For Abbott Medical Norway AS and Abbott Medical Sweden AB, data was obtained from the respective committees responsible for reviewing educational grants.

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<sup>1</sup> Definitions for capitalized terms are provided in the Glossary section of this document.

## B. Determination of Reporting Period

The payment date was used to determine the reporting period for each relevant transaction.

- For payments made through a financial system, the clearing date was used as the payment date.
- For donations of services or products, the date of receipt by the HCO/PCO was used as the payment date.

## C. Amounts

The total amount reported in each category for an HCO/PCO includes all relevant payments made by the applicable Abbott businesses worldwide. Details are available to HCO/PCO recipients through a request made to [TransparencyReporting@Abbott.com](mailto:TransparencyReporting@Abbott.com).

## D. Identification of HCOs/PCOs

HCOs/PCOs are uniquely identified in the report using the VAT ID. Where an organization did not have a VAT ID, the local country tax ID was used.

### 1. Country-Specific Issues

- Abbott Laboratories Ltd. (UK), Abbott Laboratories Ireland Ltd., and Abbott Laboratories Hellas Abee used the registered charity number or IBAN number to identify some HCOs/PCOs. These payments were provided to the MedTech Europe reporting platform.
- The payment below could not be provided to the MedTech Europe reporting platform because a unique country-specific ID was unavailable.

Country	Purpose	Total Amt.	Currency	Recipient Org Name
BG	Educational Grants to support Third Party Organised Events	104	EUR	BULGARIAN SOCIETY FOR MICROBIOLOGY

## II. Disclosure scope and timelines

The report was created in accordance with the MedTech Europe Code and in particular its Disclosure Guidelines. Accordingly, Educational Grant and PCO Funding data is disclosed for the 2017 calendar year.

### A. Disclosures in case of partial performance or cancellation

If an event was cancelled, any amounts retained by the HCO/PCO were reported.

### B. Cross-border activities

The report includes Educational Grants made or PCO Funding by applicable Abbott businesses to HCOs that reside in any of the countries in the MedTech Geographic Area, regardless of the country in which a particular event took place.

### C. Multi-year agreements

In situations where a contract spanned more than one calendar year, the payment date methodology described in Section 2 determined the reporting period.

### D. Consent Management (i.e. collection and management)

Abbott's practice is to include the consent to disclose pursuant to applicable laws or trade association codes in the relevant agreement. However, in a few countries, certain businesses did not complete contract modifications before the 2017 Educational Grant agreements were signed. Those businesses contacted each recipient and attempted to obtain specific consent to disclose the payment or transfer of value pursuant to the MedTech Code and its Disclosure Guidelines.

Each such business has fully incorporated consent to disclose into its template agreements and process for 2018 Educational Grants.

#### 1. Country-specific Issues

The businesses that followed the consent process outlined above were Abbott Medical (Schweiz) AG and Abbott AG, (both, Switzerland), and Abbott Medical Germany. The number and value of the grants that could not be disclosed is shown in the table below.

Entity	Country	# payments	Total Amount	Currency	Description
Abbott Medical GmbH	DE	3	72,500.00	EUR	3 Grants to Support Third Party Organised Events
Abbott Medical (Schweiz) AG	CH	20	814,000.00	CHF	5 Grants to Support Third Party Organised Events; 15 Other Educational Grants to HCOs
Abbott AG	CH	13	132,200.00	CHF	2 Other Educational Grants to HCOs; 11 Grants to Support Third Party Organised Events

### E. Date of Submission

The 2017 report, covering reporting period January 1, 2017 through December 31, 2017, was submitted on June 29, 2018.

### F. Currency

Payments were reported in the currency used in the payment with the exception of payments to Serbian entities. The MedTech Transparency system did not list the Serbian dinar as a currency for reporting. All Serbian dinar amounts were converted to Euro using the 2017 Actual Exchange Rates posted on Abbott's internal Treasury page as of December 29, 2017.

### G. VAT and Other Tax Aspects

Abbott discloses payment amount excluding VAT, except in cases where it is not possible to exclude VAT.

### H. Disclosure of Financial Data and Amount of Educational Grants Provided

For the calendar year 2017, Abbott device and diagnostic businesses, including the St. Jude Medical and Alere acquisitions, provided educational grants to 909 HCOs and PCOs in the amount of \$14,865,284.59 USD.

## III. Glossary

### Health Care Organization (HCO):

Any legal entity or body that is a healthcare, medical or scientific association or organization which may have a direct or indirect influence on the prescription, recommendation, purchase, order, supply, utilization, sale or lease of medical technologies or related services such as a hospital or group purchasing organization, clinic, laboratory, pharmacy, research institution, foundation, university or other teaching -institution or learned or professional society (except for patient organizations); or through which one or more Healthcare Professionals provide services.

### Professional Conference Organizer (PCO):

A for-profit company or organization which specializes in the management of congresses, conferences, seminars and similar events.

### Educational Grants:

The provision of funding, Member Company or third party products or other in kind support to an HCO by or on behalf of a Member Company on a restricted basis for use solely for the support and the advancement of genuine medical education of Healthcare Professionals, patients and/or the public on clinical, scientific and/or healthcare topics relevant to the therapeutic areas in which the Member Company is interested and/or involved.

### MedTech Europe Geographic Area:

The MedTech Europe Geographic Area currently includes:

1. Countries with national associations:

- Austria
- Belgium
- Bulgaria
- Czech Republic
- Denmark
- Finland
- France
- Germany
- Greece
- Hungary

- Ireland
- Italy
- the countries where Mecomed is active (except that countries covered by Mecomed are not currently under the scope of the Disclosure Guidelines).
- The Netherlands
- Norway
- Poland
- Portugal
- Romania
- Russia
- Slovakia
- Slovenia
- Spain
- Sweden
- Switzerland
- Turkey
- The United Kingdom

2. Countries party to the European Economic Area agreement without a MedTech Europe National Association:

- Croatia
- Cyprus
- Estonia
- Iceland
- Latvia
- Liechtenstein
- Lithuania
- Luxembourg
- Malta.